Comprehensive Risk Mgt. in Banking & Financial Institutions

INTERMEDIATE CERTIFICATION

Program Description

The Intermediate Certificate in Comprehensive Risk Management in Banking & Financial Institutions Certificate program is designed to provide professionals with an understanding of the characteristics and range of risk exposures, as well as the risk management tools available to corporate and institutional clients. A comprehensive review of key instruments associated with risk management is presented and a basic introduction to risk analytics is provided to establish a foundation on which to build a practical appreciation of risk mitigation and management techniques.

Required Courses Included In Curriculum

- Introduction to Risk Analysis, Tools and Techniques
- Cash Flow Forecasting and Analysis
- Fixed-Income Product and Portfolio Analytics
- Managing Credit and Counterparty Risk
- Foundations of Mortgage-Backed and Asset-Backed Securities
- Prime Brokerage, Institutional Investors, Funds and Fund-of-Funds
- Fundamentals of Risk Management and Hedging
- Applications of FRAs and Interest Rate Swaps
- Option Pricing and Applications
- Understanding Market Conditions and Indicators
- Market Risk Management and Capital Markets
- Legal and Regulatory Issues in Derivatives, Operational and Risk Management

The Globecon Institute reserves the right to modify, change or substitute Courses or Learning Units at any time, to guarantee a relevant and balanced learning experience.

Study Requirements and Delivery

Delegates for this certification are expected to undertake between 10 – 12 hours effort per week. This grid below indicates the types of activities that delegates would undertake.

Learning Activity	Time allocated
Online, self study activities / resource room self study	70 hours
Live, in-class lectures and review	24 hours
Online video and/or audio lectures/Webinars	16 hours
Online mentorship and forum participation	10 hours
Assessment preparation	15 hours
Total Hours	**135 hours**

This certification is a blended education approach combining:

- A comprehensive student manual which serves as the guide for education activity;
- Online self-study materials, exercises, quizzes and learning activities;
- Live, face-to-face lectures and reviews;
- Pre-recorded video and / or audio lectures; and
- Online learning community through facilitated discussion forums, chat rooms and LiveHelp

Who Should Enroll

Middle managers, project managers and staff in traditional finance areas such as credit, investment banking and relationship management, research, structuring, sales and trading, risk management and origination, as well as those in areas such as accounting, audit, legal and compliance, information technology, systems, operations and human resources, whose background is not primarily in finance. In addition, new hire analysts and associates across all functional areas of the financial institution.

No prior in-depth knowledge of accounting, economics or finance is assumed, though it would be advantageous to have completed the Core Banking & Finance Knowledge Certificate.

What You Will Learn

Upon completion of this certificate program, you will be able to:

- Utilize the basic mathematical and statistical tools necessary to price corporate securities
- Develop a basic framework for analyzing corporate and financial institution exposures
- Build an understanding of the key instruments used to manage risk
- Analyze credit risk
- Understand how to structure, price and evaluate the full spectrum of hedging alternatives in the cash and derivatives markets

Certification Assessment

One or more Mastery Tests and Assessment Activities are required for each subject in this certification. The goal of the tests and activities is to ensure delegates are able to apply their knowledge and skills to real industry situations. Mastery Tests are administered in person. Assessment Activities may include one or more or combination of case studies; exercises; project work; essays; and, reports.

Course completion requirements

Delegates must successfully complete all core subjects (a total of 24 credits) within two (2) years of the initial date of enrolment. Typical delegates can achieve this qualification within 6 – 9 months.

Course Admission requirements

Delegates must have completed the Graduate Certification in the Foundations of Finance prior to registering for this certification.

Other Intermediate Certifications

The Foundations of Finance Certification provides successful delegates the core knowledge required in the financial services sector. Intermediate and Advanced specialized tracks are available to build on this foundation with advanced specialization and certifications.